



TRANSACTION FORM

Please complete in BLOCK LETTERS and tick (✓) where applicable. Any alterations made must be countersigned.

☐ Additional ☐ Withdrawal ☐ Switch

1. INVESTOR DETAILS

Master Account No.	
Account Name (as per NRIC/ Passport/ Registration Certificate)	

2. ADDITIONAL

Fund Name	Distribution Method	Investment Amount (RM)		
		Gross Amount:	Bank Charge:	Total Net Amount:
☐ Avian Growth Fund	Reinvest (By default)			
☐ Avian Dividend Fund	☐ Reinvest ☐ Payout			

Payable to AVIAN CAPITAL SDN. BHD.	
Payment Method	☐ Cashier's Order/ Bank Draft ☐ Domestic Transfer ☐ Telegraphic Transfer
Bank Name	Malayan Banking Berhad
Account No.	514123700587

Notes:

- Third party account deposit is strictly prohibited.
- Cash transactions are not accepted.
- All payments made via bank transfer must be made payable to "Avian Capital Sdn. Bhd." and payor's full name as per NRIC/Passport/ID/Registration Certificate should be stated in the recipients' reference/description of transaction field.
- Please provide payment proof for our verification purposes.
- Your subscription application will be processed upon receipt of the completed form and your cheque cleared / remittance sighted at our end before 4 p.m. of each business day.

3. REDEMPTION

☐ Partial Redemption ☐ Full Redemption. Please **do not** close my/our account ☐ Full Redemption. Please close my/our account

Fund Name	No. of Units
☐ Avian Growth Fund	
☐ Avian Dividend Fund	

Account Holder Name	
Bank Name	
Account No.	

Notes:

- Payment to third parties is strictly NOT allowed.
- Bank charges may be applicable for payment of redemption proceeds, and it shall be borne by unit holder.

4. SWITCH

☐ Partial Switch ☐ Full Switch

	Fund Name	Distribution Method	No. of Units
From	☐ Avian Growth Fund ☐ Avian Dividend Fund		
To	☐ Avian Growth Fund ☐ Avian Dividend Fund	Reinvest (By default) ☐ Reinvest ☐ Payout	

Notes:

1. One (1) free switch per account per calendar year. Subsequent switching will be charged RM100 per transaction.

5. WHOLESALE FUND DECLARATION

I/We wish to invest into Wholesale Fund(s). I/We hereby declared and confirmed that I am/we are Sophisticated Investor(s)* as defined in the Guidelines on Unlisted Capital Market Products Under the Lodge and Launch Framework and the Guidelines on Categories of Sophisticated Investors ("Guidelines") issued by the Securities Commission Malaysia ("SC"), and any person who comes within any of the categories of investors set out in Part 1, Schedule 6 and 7 of the Capital Markets and Services Act 2007 (Please refer to the Wholesale Fund's Information Memorandum definition of Sophisticated investor).

I/We hereby acknowledge and agree to indemnify Avian Capital Sdn. Bhd. against all actions, suits, proceedings, claims, damages, and losses which may be suffered by Avian Capital as a result of any inaccuracy of the declarations made herein.

I/We hereby solemnly affirm and declare that all the above are true.

☐ High-Net Worth individual ☐ Accredited Investors
Signature

Name:

Date:

☐ High-Net Worth individual ☐ Accredited Investors
Signature (Joint Holder)

Name:

Date:

6. FOREIGN CURRENCY FUND DECLARATION

☐ I/We wish to invest into foreign currency denominated Fund(s). I/We have read and fully understood and shall comply with the requirements of the rules of the Foreign Exchange Policy of the Bank Negara Malaysia ("BNM"), including but not limited to, the rules in relation to Domestic Ringgit Borrowing*, and/or other requirements of the BNM or any other relevant authorities from time to time.

*Domestic Ringgit Borrowing is defined under the Notice 3: Any borrowing in ringgit obtained by a resident from another resident excluding one (1) housing loan and one (1) vehicle loan.

7. POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Are/ Were you/and your joint account holder (i) entrusted with any prominent public function such as head of state or government, senior politicians, senior government officials, judicial or military officials, senior executive of state owned corporations and important political party officials in Malaysia or any other country; (ii) entrusted with a prominent function by an international organisation which refers to members of senior management e.g. directors, deputy directors and members of the board or equivalent function ("PEP") or (iii) having any business relationship with a PEP or a PEP's family member/ close associate?

☐ Yes	Name:	Relationship:
	Country of PEP:	Position Held:
☐ No		

8. DECLARATION

1. I/We confirm that I/we have received, read and fully understood the relevant Product Highlight Sheet(s), Information Memorandum(s) and Supplemental Information Memorandum(s) (if any) of the respective wholesale fund(s), and agree to be bound by the terms and conditions applicable to this transaction.
2. I/We further acknowledged that I/We are aware of the fees and charges and agree of the fees and charges directly or indirectly incurred when investing in this fund.
3. I am/We are not acting as a nominee for any undisclosed third party and I/We declare that I am/we are neither engaged in any unlawful activity nor are my/our investment monies invested with the Manager derived from any illegal source or related to any illegal activity as defined by the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLATFPUAA").
4. I/We confirm that the payment is remitted from my/our own account.
5. I/We hereby agree to Avian disclosing information herein to the regulators regarding your account, as may be required, whether pursuant to law or otherwise. Avian shall not be liable whether directly or indirectly to me/us/the entity or any other persons for such disclosure.

Authorised Signatory

Name:

Date:

Authorised Signatory/Joint Holder

Name:

Date:

Common Seal/Company Stamp

FOR OFFICE USE ONLY

	Staff Name	Signature	Date	Consultant (Distributor / Referral)	Remarks
Received by					
Processed and Verified by					
Approved by					